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of 9

09/548235

04/12/2000

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Patrice J. Winder

432383-600011

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STATEMENT BY APPLICANT**

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Sheet 2

of

9

Application Number	09/548235
Filing Date	4/12/2000
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383-600011

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Declaration of Bjorn Freeman-Benson in Support of Defendants' Motion for Summary Judgment that the Patents in Suit are Invalid, Tumbleweed Communications v. Paypal Inc. and eBay, US District Court of Northern District of California, Case No. C02-02212 CW, filed 6/20/2003, 5 pages with Exhibits A-C.	
		Defendant Tigerdirect's Answer, Affirmative Defenses and Counterclaims to Plaintiff's Amended Complaint for Patent Infringement (Case 6:07-cv-00511-LED-Document 129), filed 7/21/2008 (20 pages).	
		Defendant Systemax's Answer, Affirmative Defenses and Counterclaims to Plaintiff's Amended Complaint for Patent Infringement Case 6:07-cv-00511-LED-Document 130), filed 7/21/2008 (20 pages).	
		Soverain Software v. CDW Corporation, et al., (Case 6:07-cv-00511-LED-Document 131) Notice of Compliance-TigerDirect, filed 7/21/2008 (5 pages).	
		Soverain Software v. CDW Corporation, et al., (Case 6:07-cv-00511-LED-Document 132) Notice of Compliance-Systemax, filed 7/21/2008 (5 pages).	
		Systemax/TigerDirect's Invalidity Basis Pursuant to 35 USC Sec. 112 Paragraphs (1) and (2); Civil Action No. 6:07-cv 5 11 (LED), filed 7/21/2008 (22 pages).	
		Systemax/TigerDirect's Preliminary Invalidity Contentions for Asserted Claims of U.S. Patent No. 5715314 and U.S. Patent No. 5909492 - "Shopping Cart" Functionality, Civil Action No. 6:07-cv 5 11 (LED), filed 7/21/2008 (39 pages).	
		Systemax/TigerDirect's Preliminary Invalidity Contentions for Asserted Claims of U.S. Patent U.S. Patent No. 5909492 - "SmartStatement" Functionality, Civil Action No. 6:07-Civil Action No. 6:07-cv 5 11 (LED), filed 7/21/2008 (38 pages).	
		Systemax/TigerDirect's Preliminary Invalidity Contentions for Asserted Claims of U.S. Patent No. 7272639, Civil Action No. 6:07-cv 5 11 (LED), filed 7/21/2008 (108 pages).	

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		Files.Doc-List of Files and Their Meanings for Ktshopper 2.31, 2 pages, undated.	
		Google Groups, Dec's Digital Store Now on the Internet "bit.listserv.dectei-l", January 5, 1994, 13 pages.	
		Google Groups, Decnews for UNIX, Issue #16, "biz.dec", February 16, 1994, 4 pages.	
		Google Groups, Product Release "comp.bbs.tbbs", August 6, 1994, 6 pages.	
		Google Groups, Looking for an "order Entry" Program, "comp.bbs.tbbs", August 25, 1994, 1 page.	
		WWW94-Preliminary Proceedings, "List of PostScript Files for the WWW94 Advance Proceedings", June 2, 1994, 7 pages.	
		Bellare, et al., "Entity Authentication and Key Distribution", August 1993, 29 pages.	
		Burchard, Paul, "Announcing W3Kit: A toolkit for Interactive Web Application Development", www-talk Jan-Mar 1994, February 25, 1994, 1 page.	
		Burchard, Paul, "Re: Statelessness", www-talk Apr-Jun 1994, May 16, 1994, 1 page.	

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		Burchard, Paul, "Re: Server Control Over History", www-talk Jan-Mar 1994, February 15, 1994, 2 pages.	
		Burchard, Paul, "W3 Application", April 18, 1994, 2 pages.	
		Burchard, Paul, "How W3Kit Works", April 18, 1994, 3 pages.	
		Carey, Theresa W., "Quicken 2.0 for Windows: Improving a Good Thing", Windows Sources, vol. 1, February 1993, 3 pages.	
		CompuServe Information Service, Users Guide, 1986 CompuServe Incorporated, 7 pages.	
		Detweiler, L., "IETF + PEM = Internet Commerce", September 9, 1993, 2 pages.	
		Devetzis et al., "July IETF: Internet Mercantile Protocols BOF", July 14, 1993, 3 pages.	
		Devetzis/Bellcore, "Minutes of the Internet Mercantile Protocols BOF (IMP), undated, 7 pages.	
		"Digital Equipment Corporation Announces Version 2.0 of Its Electronic Store", News Release, February 17, 1989, Maynard, MA, 3 pages.	
		Estrin et al. "Visa Protocols for Controlling Inter-Organizational Datagram Flow: Extended Description", WRL Research Report 88/5, Palo Alto, CA, December 1988, 34 pages.	

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Sheet 5 of 9

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		Gettys, et al., "The X Window System, Version 11", Software-Practice and Experience", Vol. 20, October 1990, 67 pages.	
		Gray et al., "Transaction Processing: Concepts and Techniques", Morgan Kaufmann Publishers, San Francisco, California, 1993, 937 pages.	
		Higgins, Steve, "For Advertisers, Tapping Into On-Line Services is Harder Than it Looks", Published in Investor's Business Daily, April 7, 1994, 3 pages.	
		"HTML+(Hypertext Markup Format)", November 2, 1993, 60 pages.	
		Hostetler et al., "[Proposed] HTTP Working Group" Internet Draft, March 10, 1995, 6 pages.	
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		Kelsey Technologies and Mark P. Cirmotich, "KTSopper - the OnLine Shopping Center" Version 2.31, Kelsey Technologies, September 23, 1992, 22 pages.	
		Levergood et al., "AudioFile: A Network-Transparent System for Distributed Audio Applications", Digital Equipment Corporation, Cambridge Research Laboratory, Technical Report Series, June 11, 1993, 280 pages.	
		Levergood et al., "AudioFile: A Network-Transparent System for Distributed Audio Applications", Digital Equipment Corporation, Cambridge Research Lab, June 11, 1993, 100 pgs.	
		Levergood et al., "AudioFile: A Network-Transparent System for Distributed Audio Applications", Digital Equipment Corporation, Cambridge Research Lab, [originally published in the Proceedings of the USENIX Summer 1993 Technical Conference, Cincinnati, Ohio, June 21-25, 1993, 25 pages.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 6

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Complete if Known

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Filing Date	04/12/2000
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
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		Mann, Richard, "How to Choose and Use Personal-Finance Software", Compute! Issue 151, April 1993, 4 pages.	
		Mathog, David, "GCG on DEC AXP Info", e-mail posted at http://www.bio.net/bionet/hypermail/info-gcg/1994-May/000581.html , May 16, 1994, 3 pages.	
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		Natale, Bob, "Windows SNMP, An Open Interface for Programming Network Management Applications Using the Simple Network Management Protocol Under Microsoft Windows", Version 1.0, American Computer & Electronics Corporation, Gaithersburg, Maryland, September 13, 1993, 103 pages.	
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		"Personal Finance: Quicken Adds Over 100 Improvements", Work-Group Computing Report, vol. 3, September 14, 1992, 3 pages.	

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Sheet	7	of	9	Attorney Docket Number	432383-600011

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		"PowerBASIC: Downloads", posted at http://www.powerbasic.com/files/pub/AllFiles.asp , July 26, 2000; May 23, 1994; July 18, 1993; March 26, 2001, July 19, 1995; March 3, 1993; Nov 18, 1993; Nov 12, 1995, Dec 4, 1992, Apr 14, 2003, 1 page.	
		Quatromoni, Karen, "Digital Announces New Commercial Internet Capabilities at Internet World '94", Posted at http://www.panix.com/~clocke/meckler-web/dec-internet.html , 6/1/1994, 2 pages.	
		"Quicken for Windows 2.0", PC Computing Magazine, January 1993, 3 pages.	
		"Quicken 6.0 Adds Electronic Credit Card Statements", by Bill Howard, PC Magazine, November 10, 1992, 7 pages.	
		Raggert, Dave, "Re: Link Info Outside Doc", WWW-Talk Jul-Sep 1993, posted at http://1997.webhistory.org/www.lists/www-talk.1993q3/0012.html , July 1, 1993, 1 page.	
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		Rosen, Jan, "Strategies; For the Do-it-Yourself Financial Planner, Software to the Rescue", The New York Times, January 2, 1993, 2 pages.	
		"A Sample Authorization Protocol for X", posted at /home/jg/Archive/ftp.x.org/pub/X11R4/mit/lib/Xau/README , December 8, 1988, 3 pages.	

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PART II
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		St. Johns, M., "Identification Protocol", Network Working Group, US Department of Defense, February 1993, 7 pages.	
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		Scheifler et al., "The X Window System", Software-Practice and Experience, vol. 20, October 1990, 34 pages.	
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		Siegmann, Ken, "ComputerLand Declares Ware on JWP Businessland", The San Francisco Chronicle, December 12, 1991, 2 pages.	
		Sinha et al., "MSJNETB.TXT, An Introduction to Network Programming Using the NetBios Interface", undated, 17 pages.	
		Sun Microsystems, Inc., "NFS: Network File System Protocol Specification", March 1989, 8 pages.	
		Treese, G. Winfield, "Bix.dec Frequently Asked Questions", e-mail posting, March 5, 1994, 8 pages.	
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		Tsudik, Gene et al., "On Simple and Secure Key Distribution", Communications and Computer Science Department, IBM Zurich Research Laboratory, Switzerland, November 1993, 8 pages.	

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		Turner, Melissa, "A National Campaign: Atlanta's Summer Tourism Budget hits \$2 million with Help from Visa", The Atlanta Constitution, May 7, 1993, 2 pages.	
		Weibel, et al., "An Architecture for Scholarly Published on the World Wide Web", Office of Research, OCLC Online Computer Library Center, Inc., Dublin, Ohio, undated, 8 pages.	
		Weibel, et al., various e-mail communications dated August 22 - August 24, 1994, entitled "the I-D Directories?", 3 pages.	

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